

# UTILITY PATENT APPLICATION AND FEE TRANSMITTAL

(Only used for new nonprovisional applications under 37 CFR. 1.53(b))  
(PTO/SB/05 and PTO/SB/06)

Case Docket No. **WAB 00266**

Date: **October 13, 2000**

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PATENT COMMISSIONER FOR PATENTS  
Patent Application  
Washington, D.C. 20231

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09/687172  
10/16/00

Dear Sir/Madam:

Transmitted herewith for filing is the patent application of:

Inventor(s): Lawrence J. Andrews

For: DEVICE FOR SECURING A SEALING MEMBER IN A PREDETERMINED POSITION

- ☒ Specification (9 Pages), Claims (5 pages), Abstract (1 page) and (3 sheet(s) of Informal Drawings)
- ☒ Declaration and Power of Attorney
- ☒ An Assignment of the Invention to WESTINGHOUSE AIR BRAKE TECHNOLOGIES CORPORATION
- ☐ An Information Disclosure Statement
- ☐ A Certified Copy of \_\_\_\_\_ application(s) No.(s) \_\_\_\_\_
- ☐ A Verified Statement to establish Small Entity status under 37 CFR 1.9 and 37 CFR 1.27
- ☒ A Filing Fee, calculated as shown below:

	Column 1	Column 2
FOR:	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	21-20=	*1
INDEP CLAIMS	3- 3=	*0
MULTIPLE DEPENDENT CLAIM PRESENTED		

\*If the difference in Col. 1 is less than zero, enter "0" in Col. 2

## SMALL ENTITY

RATE	FEE
	\$ 355
x 9=	\$
x40=	\$
+135=	\$
TOTAL	\$

## LARGE ENTITY

RATE	FEE
	\$ 710
x18=	\$ 18
x80=	\$
+270=	\$
	\$ 728

☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the Filing Fee and \_\_\_\_\_ Assignment Recordation. A duplicate of this sheet is attached.

☒ Check No. 4343 in the amount of \$728.00 to cover the Filing Fee and Check No. 4344 in the amount of \$40.00 to cover the Assignment Recordation is enclosed.

☐ The Commissioner is hereby authorized to charge payment for the following fees associated with this communication or credit any overpayment to Deposit Account No. \_\_\_\_\_. A duplicate of this sheet is attached.

- ☐ Any additional filing fees required under 37 CFR 1.16.
- ☐ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,  
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# DEVICE FOR SECURING A SEALING MEMBER IN A PREDETERMINED POSITION

## FIELD OF INVENTION

The present invention relates, in general, to a pressure  
5 release valve, and more particularly, to a device for securing a  
sealing member in a pressure release valve.

## BACKGROUND OF THE INVENTION

Prior to the present invention, o-rings in a standard  
packed-bore type pressure release valve with a high pressure  
port and a low pressure port are disposed about a recess created  
between the flanges of two adjacent spool valve shells. During  
slow cycling of the valve while under high pressure, o-rings can  
become dislodged from the recess, causing operational problems.  
Viton™ material is typically used because it has a greater  
stiffness in order to prevent the o-rings from dislodging from  
the high pressure during valve actuation. However, at -40°F,  
functional testing has revealed that a Viton™ o-ring does not  
provide a leak-proof seal. To correct the problem, the Viton™  
o-ring was replaced with a nitrile o-ring of the same size.  
20 Because it is softer than Viton™, the nitrile material provides  
a leak-proof seal. However, functional testing of the valve  
with the nitrile o-rings revealed that when the valve is  
actuated, the resultant high pressure might dislodge the nitrile

o-ring, causing interference with the normal operation of the valve.

When the rate of actuation of the valve increases, o-ring dislodgement is minimized. However, depending on the particular application, a high rate of actuation may not always be possible with a valve that relies on a pressure differential method of operation. While the greater stiffness of the Viton™ o-rings eliminates the dislodgement problem, the operational range and performance of the valve is adversely affected as a result.

#### SUMMARY OF THE INVENTION

In a first aspect, the present invention provides a device for securing an o-ring in a predetermined position. The device comprises a positioning element of a predetermined size and shape having a first surface and a second surface. A retaining element of a predetermined size and shape is disposed on at least one of the first surface and the second surface of the positioning element.

In a further aspect, the present invention provides for a device for securing a plurality of sealing members in a predetermined position. The device comprises two positioning elements of a predetermined size and shape having a first surface and a second surface. Two retaining elements of a predetermined size and shape are disposed on the first surface of the positioning elements. A spacer means of a predetermined



ultimately provide improved sealing characteristics and superior valve performance.

Another object of the present invention is to provide a spool valve shell configuration that is interchangeable with the standard design with minimal or no modifications to the valve.

In addition to the various objects of the invention that have been described above, various other objects and advantages of the invention will become more readily apparent to those persons skilled in the relevant art from the following more detailed description of the invention, particularly, when such description is taken in conjunction with the attached drawing figures and the appended claims.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a front view of the device for securing a sealing member in a predetermined position;

Figure 2 is a vertical section view taken along the lines II-II of Figure 1;

Figure 3 is a detailed view taken from the encircled area III of Figure 2;

Figure 4 is a vertical view of the device for securing a plurality of sealing members in a predetermined position; and

Figure 5 is a vertical sectional view of a typical pressure release valve incorporating the improvement for securing a plurality of sealing members in a predetermined position.

BRIEF DESCRIPTION OF A PRESENTLY  
PREFERRED AND VARIOUS ALTERNATIVE  
EMBODIMENTS OF THE PRESENT INVENTION

Prior to proceeding to a more detailed description of the  
invention, it should be noted that identical components having  
identical functions have been designated with identical  
reference numerals for the sake of clarity.

Now refer more particularly to Figures 1, 2, and 3 of the  
drawings. Illustrated therein is a device for securing a  
sealing member in a predetermined position, generally  
designated 1. The device comprises a positioning element 10 of  
a predetermined size and shape. The positioning element 10 has  
a first surface 11 and a second surface 12. A retaining  
element 20 is disposed on at least one of the first surface 11  
and the second surface 12 of the positioning element 10. The  
positioning element 10 is an annulus having an inside  
diameter 13 and an outside diameter 14. The retaining element  
is an annulus having an inside diameter 21 and an outside  
diameter 22. Preferably, the positioning element 10 and the  
retaining element 20 are integrally formed, and the retaining  
element 20 is disposed on the first surface 11 of the  
positioning element 10. The inside diameter 21 of the retaining  
element 20 is substantially equal to the inside diameter 13 of  
the positioning element 10. The outside diameter 22 of the  
retaining element 20 is smaller than the outside diameter 14 of

the positioning element 10. Preferably, the outside diameter 22 of the retaining element 20 has a bevel 23 with a first end 24 and a second end 25. A radius 26 of a predetermined size is disposed tangent to the first surface 11 of the positioning  
5 element 10 and the first end 24 of the bevel 23 of the retaining element 20.

Now refer more particularly to Figures 1, 3 and 4 of the drawings. Illustrated therein is a device for securing a plurality of sealing members in a predetermined position, generally designated 30. The device comprises two positioning elements 10 of a predetermined size and shape having a first surface 11 and a second surface 12. Two retaining elements 20 of a predetermined size and shape are disposed on the first surface 11 of the positioning elements 10. A spacer means 40 of  
10 a predetermined size and shape locates the positioning elements 10 a predetermined distance from each other. Preferably, the spacer means 40 is a plurality of four posts of a predetermined length disposed intermediate the positioning elements 10. The positioning elements 10 are annular shaped  
20 having an inside diameter 13 and an outside diameter 14. The retaining elements are annular shaped having an inside diameter 21 and an outside diameter 22. Preferably, the positioning elements 10 and the retaining elements 20 are integrally formed with the spacer means 40. The inside

diameter 21 of the retaining elements 20 is substantially equal to the inside diameter 13 of the positioning elements 10. The outside diameter 22 of the retaining elements 20 is smaller than the outside diameter 14 of the positioning elements 10. Preferably, the outside diameter 22 of the retaining elements 20 has a bevel 23 with a first end 24 and a second end 25. A radius 26 of a predetermined size is disposed tangent to the first surface 11 of the positioning elements 10 and the first end 24 of the bevel 23 of the retaining elements 20.

Now refer more particularly to Figures 3, 4, and 5 of the drawings. Illustrated therein is an improvement in combination with a pressure release valve generally designated 50, having a high pressure port 51, a low pressure port 52, and a spool valve, generally designated 53. The spool valve further has a plurality of spool valve shells, generally designated 30. The improvement comprises the spool valve shells 30 having two positioning elements 10 of a predetermined size and shape, two retaining elements 20 of a predetermined size and shape disposed on the positioning elements 10, a spacer means 40 of a predetermined size and shape for locating the positioning elements 10 a predetermined distance from each other, and a sealing member 55 of a predetermined size and shape disposed intermediate two opposing positioning elements 10 of two adjacent spool valve shells 30. The retaining elements 20 on



the opposing positioning elements 10 secure the sealing member 55 in position when the pressure release valve 50 is actuated. The positioning elements 10 have an inside diameter 13 and an outside diameter 14. The retaining elements 5 have an inside diameter 21 and an outside diameter 22. Preferably, the positioning elements 10 and the retaining elements 20 are integrally formed with the spacer means 40. The inside diameter 21 of the retaining elements 20 is substantially equal to the inside diameter 13 of the positioning elements 10. The outside diameter 22 of the retaining elements 20 is smaller than the outside diameter 14 of the positioning elements 10. Preferably, the outside diameter 22 of the retaining elements 20 has a bevel 23 with a first end 24 and a second end 25. A radius 26 of a predetermined size is disposed tangent to the first surface 11 of the positioning elements 10 and the first end 24 of the bevel 23 of the retaining elements 20. Preferably, the spacer means 40 is a plurality of four posts disposed intermediate the positioning elements 10. The preferred shape of the sealing member 55 is an o-ring. The 20 preferred material of the sealing member 55 is nitrile.

Although the invention has been shown in connection with a certain specific embodiment, it will be readily apparent to those skilled in the art that various changes in form and

arrangement of parts may be made to suit requirements without departing from the spirit and scope of the invention.

I claim:

1. A device for securing a sealing member in a predetermined position, said device comprising:

(a) a positioning element of a predetermined size and shape having a first surface and a second surface; and

(b) a retaining element of a predetermined size and shape disposed on at least one of said first surface and said second surface of said positioning element.

2. The device according to claim 1 wherein said positioning element and said retaining element is an annulus having an inside diameter and an outside diameter.

3. The device according to claim 1 wherein said positioning element and said retaining element are integrally formed.

4. The device according to claim 1 wherein said retaining element is disposed on said first surface of said positioning element.

5. The device according to claim 2 wherein said inside diameter of said retaining element is substantially equal to said inside diameter of said positioning element.

6. The device according to claim 2 wherein said outside diameter of said retaining element is smaller than said outside diameter of said positioning element.

5        7. The device according to claim 2 wherein said outside diameter of said retaining element has a bevel.

8. The device according to claim 7 wherein said bevel has a first end and a second end.

10       9. The device according to claim 8 wherein a radius of a predetermined size is disposed tangent to said first surface of said positioning element and said first end of said bevel of said retaining element.

15       10. A device for securing a plurality of sealing members in a predetermined position, said device comprising:

(a) two positioning elements of a predetermined size and shape having a first surface and a second surface;

20       (b) two retaining elements of a predetermined size and shape disposed on said first surface of said positioning elements; and

(c) a spacer means of a predetermined size and shape for locating said positioning elements a predetermined distance from each other.

5        11. The device according to claim 10 wherein said positioning elements and said retaining elements are integrally formed with said spacer means.

12. The device according to claim 10 wherein an outside diameter of said retaining elements has a bevel.

13. The device according to claim 12 wherein a radius of a predetermined size is disposed tangent to said first surface of said positioning elements and said bevel of said retaining elements.

14. The device according to claim 10 wherein said spacer means is a plurality of posts of a predetermined length disposed intermediate said positioning elements.

15. The device according to claim 14 wherein said plurality is four.

16. In combination with a pressure release valve having a high pressure port, a low pressure port, a spool valve, check valve, and a reset spool, said spool valve, check valve, and reset spool further having a plurality of spool valve shells,  
5 the improvement comprising:

(a) such spool valve shell having two positioning elements of a predetermined size and shape;

(b) two retaining elements of a predetermined size and shape disposed on said positioning elements;

10 (c) a spacer means of a predetermined size and shape for locating said positioning elements a predetermined distance from each other; and

15 (d) a sealing member of a predetermined size and shape disposed intermediate two opposing said positioning elements of two such adjacent spool valve shells, whereby said retaining elements on opposing said positioning elements secure said sealing member in position when such pressure release valve is actuated.

20 17. The combination according to claim 16 wherein said positioning elements and said retaining elements have an inside diameter and an outside diameter.

18. The combination according to claim 17 wherein said inside diameter of said retaining elements is substantially equal to said inside diameter of said positioning elements.

5 19. The combination according to claim 17 wherein said outside diameter of said retaining elements is smaller than said outside diameter of said positioning elements.

10 20. The combination according to claim 16 wherein said sealing member is an o-ring.

15 21. The combination according to claim 20 wherein said o-ring material is nitrile.

## ABSTRACT OF THE INVENTION

A device for securing a sealing member in a predetermined position. The device comprises a positioning element of a predetermined size and shape having a first surface and a second surface, and a retaining element of a predetermined size and shape disposed on at least one of the first surface and second surface of the positioning element.



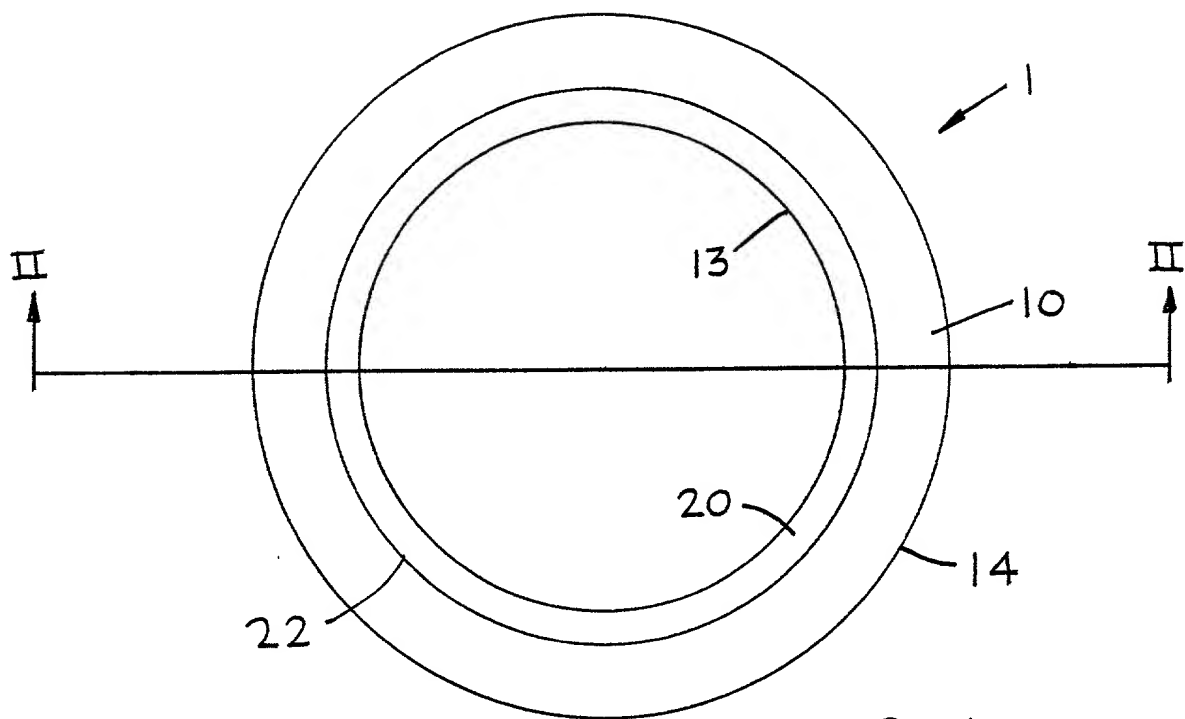


FIG. 1

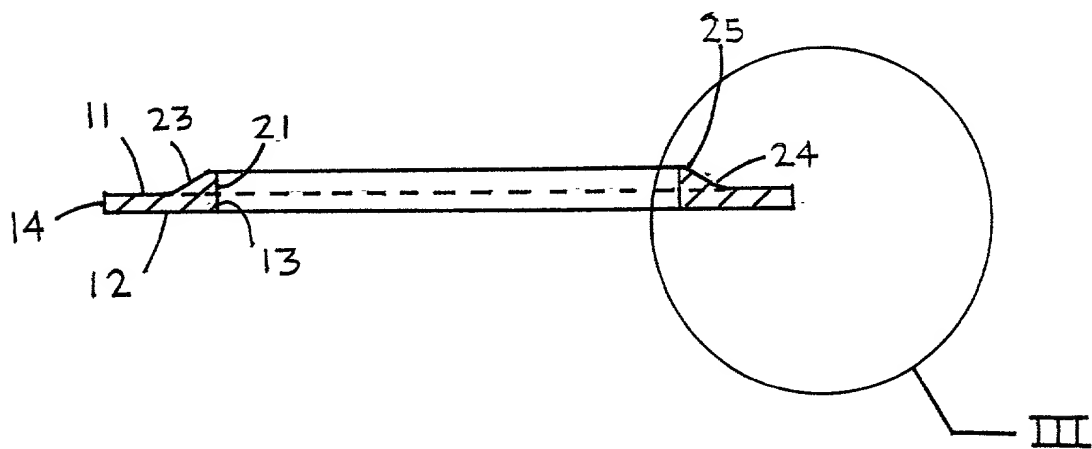


FIG. 2

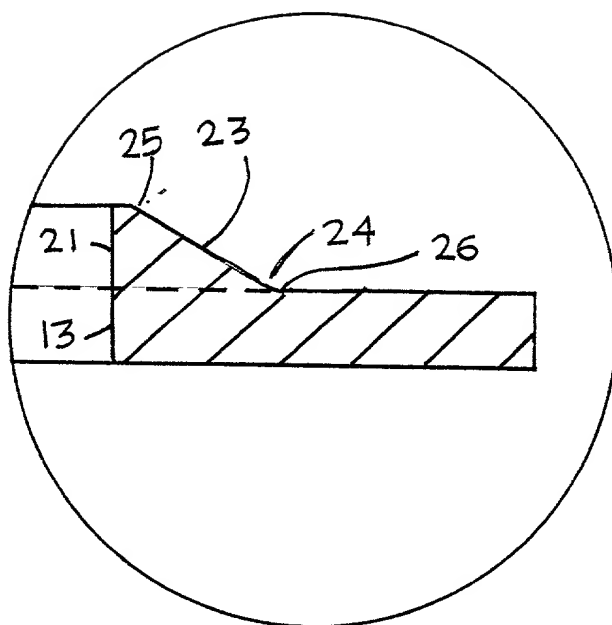


FIG. 3

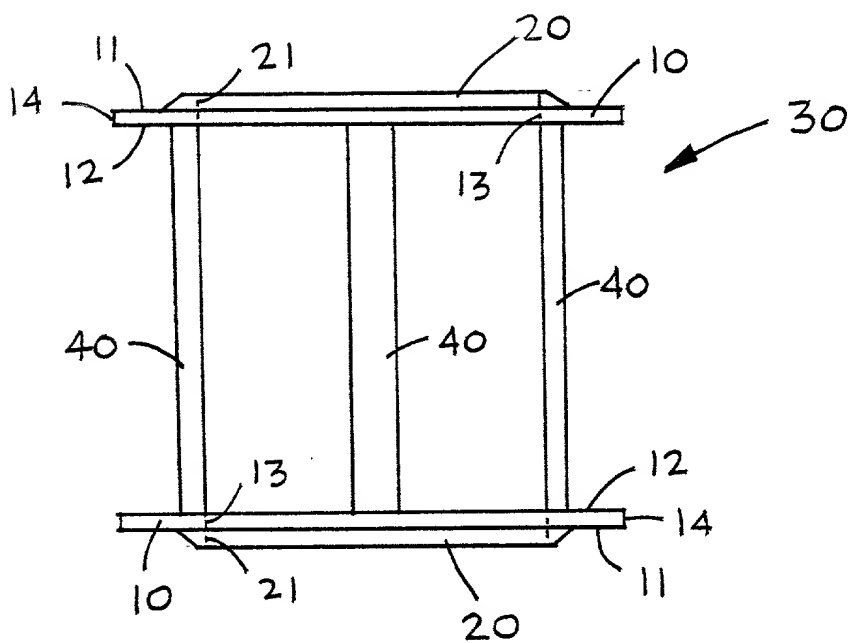


FIG. 4

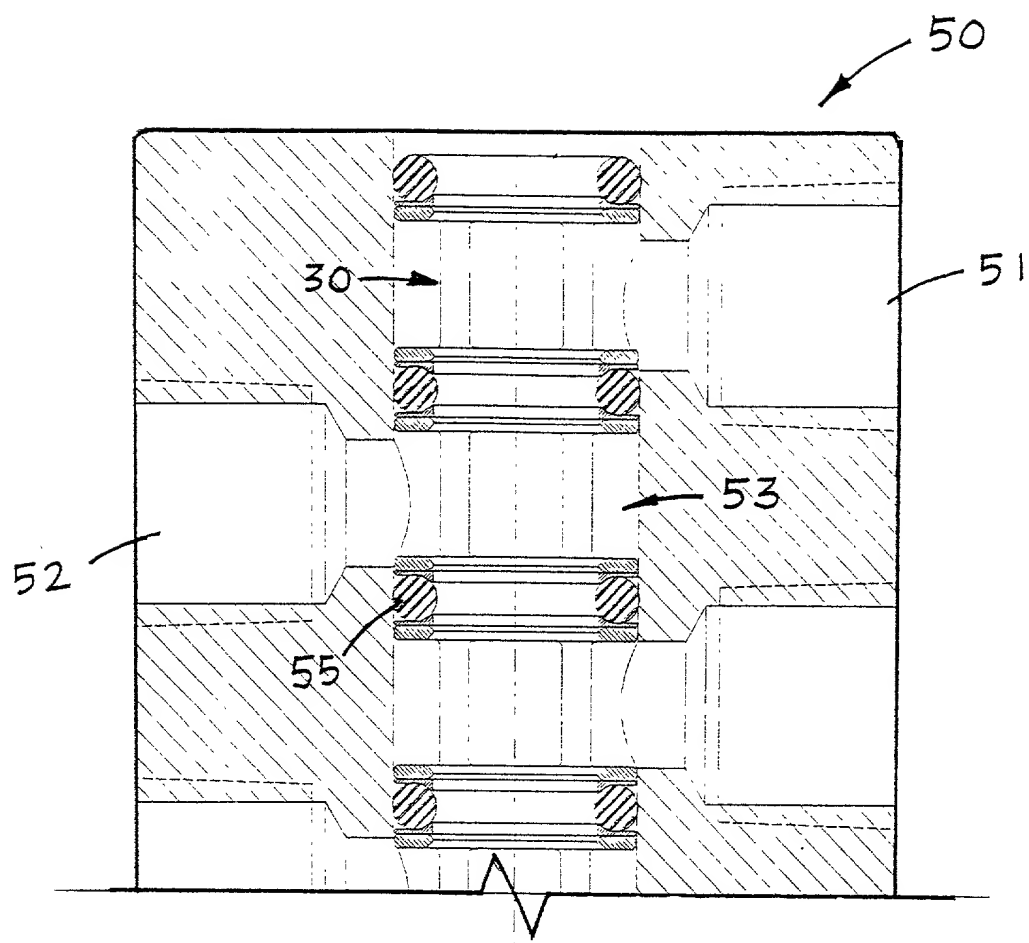


FIG. 5

# Declaration For U.S. Patent Application

(PTO/SB/01)

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

## DEVICE FOR SECURING A SEALING MEMBER IN A PREDETERMINED POSITION

the specification of which (Check one of blocks 1, 2 or 3)

1. ☒ is attached hereto.

2. ☐ was filed on \_\_\_\_\_ as International PCT Application Serial No. \_\_\_\_\_  
and was amended on \_\_\_\_\_. (if applicable).

3. ☐ was filed on \_\_\_\_\_ as U.S. Application Serial No. \_\_\_\_\_  
and was amended on \_\_\_\_\_. (if applicable).

hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above

acknowledge the duty to disclose information which is material to patentability as defined in 37 C F R 1.56

hereby claim foreign priority benefits under 35 U S C 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed

### List of Prior Foreign Applications (if applicable)

CERTIFIED COPY ATTACHED?

☐ Yes ☐ No

(Application Number)

(Country)

(Day/Month/Year Filed)

☐ Yes ☐ No

(Application Number)

(Country)

(Day/Month/Year Filed)

☐ Yes ☐ No

(Application Number)

(Country)

(Day/Month/Year Filed)

☐ Yes ☐ No

(Application Number)

(Country)

(Day/Month/Year Filed)

☐ Additional foreign application numbers are listed on the attached sheet, PTO/SB/02B - Supplemental Priority Data Sheet or similar sheet.

hereby claim the benefit under 35 U S C 119(e) of any United States provisional application(s) listed below

### List of U.S. Provisional Applications (if applicable)

(Application Number)

(Day/Month/Year Filed)

(Application Number)

(Day/Month/Year Filed)

☐ Additional provisional application numbers are listed on the attached sheet, PTO/SB/02B - Supplemental Priority Data Sheet or similar sheet.

hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C F R 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

### List of U.S. Parent Application Or PCT Parent Numbers (if applicable)

(Number)

(Day/Month/Year Filed)

(Status: Abandoned; Pending; Patent Number, if applicable)

(Number)

(Day/Month/Year Filed)

(Status: Abandoned; Pending; Patent Number, if applicable)

(Number)

(Day/Month/Year Filed)

(Status: Abandoned; Pending; Patent Number, if applicable)

☐ Additional U.S. or PCT international application numbers are listed on the attached sheet, PTO/SB/02B - Supplemental Priority Data Sheet or similar sheet.

And I hereby appoint as principal attorneys and agents, James O. Ray, Jr., Reg. No. 27,666; Forest C. Sexton, Reg. No. 22,054; Edmond S. Miksch, Reg. No. 38,558; James R. Stevenson, Reg. No. 38,755; John B. Sotak, Reg. No. 20,529; Gary J. Falce, Reg. No. 29,304; Elroy Strickland Reg. No. 22,546; Amos Bartoli, Reg. No. 42,299; Michele K. Yoder, Reg. No. 41,562 and Robert A. Shack, Reg. No. 29,976.

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 of Title and that such willful false statements may jeopardize the validity of the application or any patent issue thereon.

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Inventor's signature: *Lawrence J. Andrews* Date: 10/11/00

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Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_

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Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_

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Full name of Fourth Inventor: \_\_\_\_\_

Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_

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(Street, City, State, Zip Code, Country)

Citizenship: \_\_\_\_\_

Post Office Address: \_\_\_\_\_

] Additional inventors are listed on the attached sheet, PTO/SB/02A - Supplemental Additional Inventor(s) Sheet or similar sheet